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**PATENT**  
Attorney's Docket No. JJK-0405

## INFORMATION DISCLOSURE STATEMENT TRANSMITTAL FORM

In re application of: Keith K. Aldous, et al.  
Serial No: 10/789,564  
Filed: February 27, 2004  
For: **PROCESS FOR PRODUCING LUBRICANT BASE OILS**

Examiner: Unknown

Group Art Unit: 1764

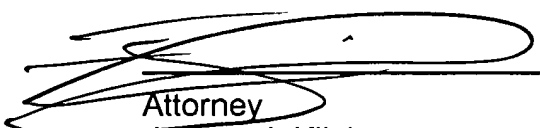
**The Honorable Commissioner for Patents**  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Information Disclosure Statement, PTO 1449 form, and copies of cited references, if applicable.

This Information Disclosure Statement is submitted in accordance with the requirements of 37 CFR 1.56, 1.97 and 1.98, before the mailing date of the First Office Action. No fee is due.

11/18/04  
Date

  
\_\_\_\_\_  
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ExxonMobil Research & Engineering Company  
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Annandale, NJ 08801-0900

### CERTIFICATION OF MAILING (37 CFR 1.8 (a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Judy Gould  
(Name of person mailing paper)

  
(Signature of person mailing paper)

11-19-04  
(Date)



"PATENT"

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Keith K. Aldous, et al.

SERIAL NO.: 10/789,564

FILED: February 27, 2004

FOR: Process for Producing  
Lubricant Base Oils

§ EXAMINER: Unknown

§

§ GROUP ART UNIT: 1764

§

§ ATTY. DOCKET NO.: JJK-0405

§

§ FAMILY NO.: P2003J035

§

Honorable Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with Title 37, Sections 1.56, 1.97 and 1.98 of the Code of Federal Regulations, and pursuant to applicants' duty of candor and good faith toward the United States Patent and Trademark Office, the Examiner's attention is drawn to the art indicated on the attached PTO-1449 form.

This Information Disclosure Statement is being filed under:

- ☐ 37 CFR 1.97 (b) (1) within three months of the filing date of a national application other than a continued prosecution application.
- ☐ 37 CFR 1.97 (b) (2) within three months of the date of entry of the national stage in an international application.
- ☒ 37 CFR 1.97 (b) (3) before the mailing date of a first Office action on the merits.
- ☐ 37 CFR 1.97 (b) (4) before the mailing date of a first Office action after filing a Request for Continued Examination.
- ☐ 37 CFR 1.97 (c) before the mailing date of a final action or notice of allowance. This Information Disclosure Statement is accompanied by the appropriate statement under 37 CFR 1.97(e) and the fee set forth in 37 CFR 1.17 (p).
- ☐ 37 CFR 1.97 (d) prior to payment of the issue fee. This Information Disclosure Statement is accompanied by the appropriate statement under 37 CFR 1.97 (e) and the fee set forth in 37 CFR 1.17 (p).

Form PTO-1449 is attached to this paper listing documents submitted in the above-referenced case. It is respectfully requested that the Examiner consider these documents and return an initialed copy of each form to the attorney of record.

This disclosure statement should not be construed as a representation that a search has been made or that no other material information, as defined in 37 CFR § 1.56(a), exists.

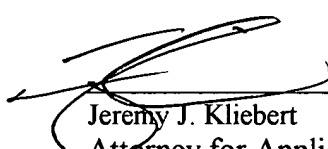
The instant application was filed after June 30, 2003. In accordance with *1276 Official Gazette* 55, Aug. 5, 2003, which waives the requirement under 37 CFR 1.98 (a) (2) (i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication, applicants have not submitted copies of these documents. Copies of any cited foreign patent documents and/or non-patent literature are submitted herewith in accordance with 37 CFR 1.98 (a) (2).

We believe that this disclosure complies with the requirements of 37 CFR § 1.56, 1.97 and 1.98, and the Manual of Patent Examining Procedures § 609. If for any reason the Examiner finds that the disclosure or documents do not comply with these sections, notification is respectfully requested.

If there are any matters or issues outstanding, the Examiner is encouraged to contact the attorney of record at the telephone number listed below.

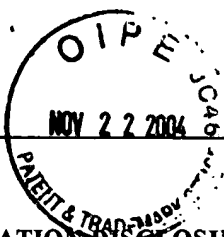
Respectfully submitted:

Date: 11/18/09



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Annandale, New Jersey 08801-0900



# INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

JJK-0405

SERIAL NO.

10/789,564

APPLICANT

Keith K. Aldous, et al.

FILING DATE

February 27, 2004

GROUP

1764

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | DATE     | NAME              | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|----|--------------------|----------|-------------------|-------|----------|-------------------------------|
|                      | AA | 3,637,484          | 1/25/72  | Hansford          | 208   | 143      |                               |
|                      | AB | 4,695,365          | 9/22/87  | Ackelson et al.   | 208   | 89       |                               |
|                      | AC | 4,699,707          | 10/13/87 | Moorehead et al.  | 208   | 57       |                               |
|                      | AD | 4,744,884          | 5/17/88  | Moorehead et al.  | 208   | 111      |                               |
|                      | AE | 5,273,645          | 12/28/93 | Clark et al.      | 208   | 87       |                               |
|                      | AF | 5,976,354          | 11/2/99  | Powers et al.     | 208   | 89       |                               |
|                      | AG | 5,770,542          | 6/23/98  | Brandes et al.    | 502   | 527      |                               |
|                      | AH | 5,951,848          | 9/14/99  | Baker, Jr. et al. | 208   | 28       |                               |
|                      | AI | 6,051,127          | 4/18/00  | Moureaux          | 208   | 58       |                               |
|                      | AJ |                    |          |                   |       |          |                               |
|                      | AK |                    |          |                   |       |          |                               |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT<br>NUMBER | PUBL.<br>DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|----|--------------------|---------------|---------|-------|----------|-------------|----|
|  |    |                    |               |         |       |          | YES         | NO |
|  | AK | WO 02/08363        | 31/1/02       | France  | C10G  | 65/04    | Abstract    | X  |
|  | AL |                    |               |         |       |          |             |    |
|  | AM |                    |               |         |       |          |             |    |
|  | AN |                    |               |         |       |          |             |    |

## OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

|  |    |  |
|--|----|--|
|  | AP | Ramaswamy, A.V.; Samuel, Violet; Ramaswamy, Veda; Sivasanker, S., "Upgrading of paraffinic oils to low pour specialty lube stocks through catalytic processes," (National Chemical Laboratory, Pune-411 008), Adv. Prod. Appl. Lube Base Stocks, Proc. Inst. Symposium (1994), 180-7. Editor(s): Singh, Himmat; Prasada Rao, T.S.R. Publisher: Tata McGraw-Hill, New Delhi, India. |
|--|----|--|

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant